

VI. ANNEXES

ANNEX I: METHODOLOGY

Preliminary desk research

A first research was carried out in order to get a grasp at the general functioning of development agencies, and identify their priorities, scale of loans and grants and their geographic scope; and to explore their relationships with national governments, private sector, civil society and other development agencies.

Selection of development agencies

The development agencies already involved in the Marrakech Process (those who took part in the First Cooperation Dialogue sessions in Costa Rica) were automatically selected: the World Bank, CIDA (Canada), FINNIDA (Finland), JICA (Japan), NEDA (Netherlands), AECI (Spain), and Sida (Sweden). Unfortunately, inputs from the Dutch Development Agency and the World Bank were not received at the end of this review.

The goal was to have at least fifteen development agencies surveyed for the findings to be relevant. The agencies were selected following several criteria:

- try to keep a balance between bilateral and multilateral donors,
- location of recipient countries and regions,
- agencies willing to be involved in the process,
- existing UNEP contacts with some agencies,
- among multilateral donors, a balanced mix of UN agencies, other international organisations and regional development banks.

Fourteen bilateral and eleven multilateral agencies were selected for this study, see box below.

BILATERAL DONORS

- Australian Aid Agency (AusAID)
- Belgium General Direction for Development Cooperation (DGDC)
- Canadian International Development Agency (CIDA)
- Danish International Development Agency (DANIDA)
- Dutch Development Agency (NEDA)
- Finnish International Development Agency (FINNIDA)
- French Development Agency (AFD)
- German Development Agency (GTZ)
- Japanese International Cooperation Agency (JICA)
- Norwegian Agency for Development Cooperation (NORAD)
- Spanish Agency for International Cooperation (AECI)
- Swedish International Development Agency (SIDA)
- United Kingdom Department For International Development (DFID)
- United States Agency for International Development (USAID)

MULTILATERAL DONORS

- African Development Fund (AFDB)
- Asian Development Bank (ADB)
- European Bank for Reconstruction and Development (EBRD)
- European Development Fund (FED)
- Food and Agriculture Organisation (FAO)
- Inter-American Development bank (IDB)
- Islamic Development Fund (ISDB)
- UN Conference on Trade and Development (UNCTAD)
- UN Industrial Development Organization (UNIDO)
- UN Development Programme (UNDP)
- World Bank

Second desk research – the matrix

A more focused desk research was then carried out to get a first picture of the selected agencies' activities and general functioning. On the basis of the information available on each agency's official website, a matrix was set up in order to determine:

- whether their website refers to SCP;
- which department deals with SCP issues, if any;
- their main areas of development projects;
- whether they refer to the Agenda 21, to the Marrakech process, to the WSSD 2002 and to leapfrogging⁸;
- the location of recipient member countries;
- areas of SCP-related work;
- if they have carried out any relevant SCP-related projects;
- general financial information.

This enabled us to draw the first trends, and helped to identify the appropriate contact person for the questionnaire as well as to develop the questions that needed to be addressed.

Development of Questionnaire and Survey

On the basis of the information collected above, a focused questionnaire was set up. This first questionnaire was sent for testing to four agencies: UNDP, JICA, NORAD and AFD. The agencies provided valuable feedback that helped to improve the questionnaire. In December 2005, the questionnaire was sent to all twenty-five agencies. Ten bilateral agencies and nine multilateral agencies answered.

Drawing up of the profiles

A "profile" was set up for each agency (see Appendix I), giving a brief description of the organisation's priorities, objectives and functioning, and providing the target regions/countries, the organisation chart, some relevant financial information, general contact details and the official website. The profiles of the participants were sent to the respective agencies in order to check and update the information as necessary.

Research of case studies on SCP-related projects by development agencies

In order to illustrate the review, case studies led by the participant development agencies were identified in different fields of activities.

Analysis of the answers

Between December 2005 and the end of February 2006, nineteen development agencies answered the questionnaire. AusAID (Australia) was unable to participate; DANIDA (Denmark), USAID (USA) and the Inter-American Development Bank did not express themselves; the World Bank and NEDA (Netherlands) are expected to participate later on.

Ten bilateral agencies and nine multilateral agencies participated in the survey. The results were compiled under different tables; graphs were then drawn on this basis to bring out the trends.

Data interpretation and finalisation of the study

On the basis of the graphs, it was then possible to interpret the results and make the appropriate recommendations.

⁸ Leapfrogging : allowing developing countries to learn from the development experience of industrialised countries and avoid repeating the same mistakes.

ANNEX II: QUESTIONNAIRE

Cooperation for Sustainable Consumption and Production

Prior to sending out this questionnaire, we carried out a desk research on development agencies (mainly based on the websites) to identify main activities and priorities. Most of the time, agencies do carry out some activities linked to Sustainable Consumption and Production (SCP) but they may not be labelled as such. 2 out of 14 bilateral donors refer to the term SCP, and 7 out of 11 multilateral donors do.

Sustainable Consumption and Production is about resource efficiency and finding social and environmental balance through more responsible patterns of production, distribution, use and disposal of products and services. SCP promotes new life-styles based on better access to environmentally and socially responsible products and services such as fair trade and organic agriculture.⁹

SCP could contribute to poverty reduction by providing better access to sustainable livelihoods (access to sustainable technologies, renewable energy) and generating new employment opportunities (e.g. fair trade, organic agriculture).

Keeping this in mind, please answer the following questions:

1. Which activities do you carry out to contribute to achieving the Millennium Development Goal 7 “Ensure environmental sustainability” (efficient use of natural resources, protecting ecosystems and modifying current patterns of consumption)?¹⁰

For example:

- Sustainable management of resources
- Sustainable livelihoods
- Promotion of fair trade
- Organic agriculture
- Transfer of environmentally sound technologies
- Other activities – please specify:

...

If you have carried out a specific activity in that area (MDG 7) that could be considered a success story, kindly provide us information:

...

⁹ Another definition of *Sustainable consumption and production* is “the use of goods and services that respond to basic needs and bring a better quality of life, while minimising the use of natural resources, toxic materials and emissions of waste and pollutants over the life cycle, so as not to jeopardise the needs of future generations.”

¹⁰ MDG 7: *Environmental sustainability* refers to “using natural resources wisely and protecting the complex ecosystems on which our survival depends. But sustainability will not be achieved with current patterns of resource consumption and use.” [Millennium Development Goals Report 2005, United Nations, 2005, p.30]

2. a. Does your agency/organisation use SCP as terminology in its operations?

Yes No

b. If yes, does your agency have a specific department/unit dealing with SCP issues?

No
 Yes – please provide the name of the department/unit:

...

3. Does your agency/organisation promote SCP in any of the following sectors and sub-sectors¹¹? (Please tick Yes if your agency/organisation promotes SCP in the sector/sub-sector, No if it carries out activities but doesn't promote SCP in the sector/sub-sector, and N/A if it doesn't work in the sector/sub-sector at all)

Yes	No	N/A		Yes	No	N/A
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Water & sanitation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Waste management (e.g. 3Rs)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Resource efficiency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Energy efficiency/renewable energy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Food & Agriculture	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

For each sector/sub-sector in which you promote SCP, please specify the activities and recipient country(ies). Likewise, please specify any sector/sub-sector not listed above in which you are integrating SCP.

...

4. Along with achieving environmental protection, SCP activities could provide tangible benefits for economic and social development of the recipient country. Which of the following benefits do you consider to be the most promising? (Please tick one or more)

- Generation of new sources of employment
- Provision of better access to basic services (water, energy, sanitation, etc)
- Reduction of future costs (pollution prevention pays)
- Creation of new market opportunities (domestic and international markets)
- Reduction of public management costs (e.g. sustainable procurement)
- Enabling more cost-effective practices (eco-efficiency, Life Cycle Approaches, etc)
- Contribution to poverty reduction
- Enabling developing countries to leapfrog¹² into sustainable development

¹¹ For example, when working on an agriculture project do you promote organic agriculture/fair trade? When working in waste management, do you promote policies for prevention and minimisation?

¹² *Leapfrogging: allowing developing countries to learn from the development experience of industrialized countries and avoid repeating the same unsustainable phases.*

- Contributing to major environmental challenge
- Other – please specify:

...

5. In the projects you have supported so far, do you have examples of promotion and implementation of cleaner production and/or development of sustainable products/services?

- No
- Yes – please provide us with one or two relevant examples, specifying the country in which the project was carried out:

...

6. Do you promote any of the following activities to change unsustainable patterns of consumption? (If not, please move to question 7)

For example:

- Promotion of environmental education programmes
- Capacity building on sustainable product development and resource efficiency
- Training in sustainable consumption and consumer behaviour
- Promotion of information tools for consumers (eco-labels, consumer protection, etc)
- Public awareness campaigns
- Promotion of environmental regulation and economic instruments
- Other activities – please specify:

...

7. Environmentally Sound Technologies (ESTs) enable developing countries to leapfrog into sustainable development. How do you contribute to transfer and development of EST? (If your agency does not carry out EST related activities, please move to question 8)

For example:

- Providing information and promoting the use of Best Available Technologies
- Encouraging implementation of EST into long term sector strategies (e.g. waste, transport, energy)
- Integrating/improving local know-how
- Using EST in projects / programmes
- Providing financial incentives and promoting adequate regulatory frameworks
- Providing training and capacity building on new technologies
- Supporting Research & Development of EST
- Other – please specify:

...

We would appreciate it if you could provide one or two examples of activities your agency has carried out in the EST field:

...

8. Do you carry out environmental education/training programmes in support of the UN Decade for Education for Sustainable Development?¹³

- Not applicable (the agency/organisation doesn't carry out education programmes at all)
 No
 Yes – please specify the activities/objectives and country(ies):

...

9. In your opinion, how can development agencies contribute to better integrating SCP at national and local levels? (Please tick one or more)

For example, by:

- Demonstrating the benefits of SCP for poverty reduction and development strategies/programmes
 Promoting new partnerships (public-private partnerships, national/local governments civil society, etc)
 Prioritising ESTs in the projects to showcase the added value of such technologies
 Encouraging better communication and coherence between the different ministries in national governments (e.g. by integrating technical and financial ministries in environmental projects and vice-versa)
 Providing information to national governments on the benefits of SCP
 Engaging new stakeholders (e.g. Community Based Organisations, other development agencies) – please specify:

...

- Other – please specify:

...

10. What would your agency/organisation need to better integrate SCP in its operations (e.g. staff training, inclusion of SCP in the strategy of the agency/organisation, strengthened cooperation with other development agencies, etc)?

...

¹³ UNEP has developed various training kits on education for SCP. They are available on: www.unep.fr/pc/sustain/youth/youthxchange.htm and www.unep.fr/pc/sustain/youth/youthxchange.htm.

11. We would appreciate your comments and suggestions on this questionnaire/review. Likewise, if you have SCP-related projects to illustrate our review, kindly indicate below the project(s) and country(ies).

...

CONTACT DETAILS

Please provide the contact details of the person(s) with whom we can share the results of this survey:

Contact 1

Name :

Organisation :

Postal address :

Tel :

Fax :

Email :

Contact 2

Name :

Organisation :

Postal address :

Tel :

Fax :

Email :

Please return this questionnaire to both Ms. Adriana Zacarias (azacarias@unep.fr) and Ms. Olivia Woosnam-Merchez (owoosnam@unep.fr) **by Monday 9 January 2006**.

You are kindly invited to participate more actively in the Cooperation Dialogue. For more information, do not hesitate to contact us or visit our website <http://www.unep.fr/pc/sustain/10year/Cooperation%20Dialogue.htm>.

***** Thank you for your cooperation *****